

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 2420/122 First Inventor Michael D. Potter Title AN ELECTROSTATIC BASED POWER SOURCE AND METHODS THEREOF Express Mail Label No. EV138587274US	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification <i>[Total Pages 19]</i> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications (<i>if applicable</i>) - Statement Regarding Fed sponsored R & D (<i>if applicable</i>) - Reference to sequence listing, a table, or a computer program listing appendix (<i>if applicable</i>) - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 U.S.C. 113)</i> <i>[Total Sheets 9]</i> 5. Oath or Declaration <i>[Total Pages 2]</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Unsigned c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) Prior application information: Examiner <u>Karl L. Tamai</u> of prior application No.: <u>10/280,304</u> Group / Art Unit: <u>2834</u>			
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
Name Nixon Peabody LLP Clinton Square, P.O. Box 31051			
Address			
City Rochester		State NY	Zip Code 14603-1051
Country USA		Telephone (585) 263-1014	Fax (585) 263-1600
Name (Print/Type) Gunnar G. Leinberg		Registration No. (Attorney/Agent) 35,584	
Signature 		Date	November 11, 2003



10/706016

FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Michael D. Potter
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	2420/123

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account:

Deposit Account Number

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	<input type="text" value="385.00"/>
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
33	-20** = 13	X 9	= 117
Independent Claims	3	-3** = 0	X 43 = 0
Multiple Dependent		X	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	86	2201 43 Independent claims in excess of 3
1203	290	2203 145 Multiple dependent claim, if not paid
1204	86	2204 43 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge – late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	420	2252	210 Extension for reply within second month
1253	950	2253	475 Extension for reply within third month
1254	1,480	2254	740 Extension for reply within fourth month
1255	2,010	2255	1,005 Extension for reply within fifth month
1401	330	2401	165 Notice of Appeal
1402	330	2402	165 Filing a brief in support of an appeal
1403	290	2403	145 Request for oral hearing
1451	1,510	1451	1,510 Petition to institute a public use proceeding
1452	110	2452	55 Petition to revive – unavoidable
1453	1,330	2453	665 Petition to revive – unintentional
1501	1,3300	2501	665 Utility issue fee (or reissue)
1502	480	2502	240 Design issue fee
1503	640	2503	320 Plant issue fee
1460	130	1460	130 Petitions to the Commissioner
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	770	2809	385 Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop _____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO at _____, on _____.

Signature: _____

Name: _____

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gunnar G. Leinberg	Registration No. (Attorney/Agent)	35,584	Telephone	(585) 263-1014
Signature				Date	November 11, 2003

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **2420/123**

APPLICANT : **Michael D. Potter**

TITLE : **AN ELECTROSTATIC BASED POWER SOURCE AND
METHODS THEREOF**

Certificate is attached to the **Utility Patent Application Transmittal Letter** (1 page) in duplicate and **Fee Transmittal Letter** (1 page) in duplicate of the above-named application.

“EXPRESS MAIL” NUMBER: **EV415647477US**

DATE OF DEPOSIT: **November 12, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the **Mail Stop: Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Shawn A. Lockett

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **2420/123**

APPLICANT : **Michael D. Potter**

TITLE : **AN ELECTROSTATIC BASED POWER SOURCE AND
METHODS THEREOF**

Certificate is attached to the **Formal Drawings (9 pages)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EV415647477US**

DATE OF DEPOSIT: **November 12, 2003**

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Shawn A. Lockett

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **2420/123**

APPLICANT : **Michael D. Potter**

TITLE : **AN ELECTROSTATIC BASED POWER SOURCE AND
METHODS THEREOF**

Certificate is attached to the **Information Disclosure Statement (1 page)** and
PTO-1449 Form (1 page) in duplicate of the above-named application.

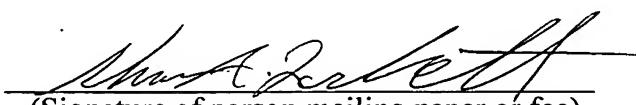
“EXPRESS MAIL” NUMBER: **EV415647477US**

DATE OF DEPOSIT: **November 12, 2003**

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Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the
date indicated above and is addressed to the **Mail Stop: Patent Application**, Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Shawn A. Lockett

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **2420/123**

APPLICANT : **Michael D. Potter**

TITLE : **AN ELECTROSTATIC BASED POWER SOURCE AND
METHODS THEREOF**

**Certificate is attached to the Utility Patent Application which includes
Specification, Claims, and Abstract (19 pages) and UNSIGNED Combined Declaration
and Power of Attorney (2 pages) of the above-named application.**

“EXPRESS MAIL” NUMBER: **EV415647477US**

DATE OF DEPOSIT: **November 12, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the **Mail Stop: Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Shawn A. Lockett

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

PATENT
Docket No.: 2420/123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Michael D. Potter)	Examiner:
Serial No.	:	CIP of U.S. Serial No. 10/280,304)	To Be Assigned
Cnfrm. No.	:	To Be Assigned)	Art Unit:
Filed	:	Herewith)	To Be Assigned
For	:	AN ELECTROSTATIC BASED POWER SOURCE AND METHODS THEREOF)	
)	

SUBMISSION OF FORMAL DRAWINGS

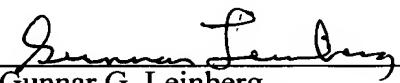
Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the subject application are nine (9) sheets of formal drawings.

Respectfully submitted,

Date: November 11, 2003



Gunnar G. Leinberg
Registration No. 35,584

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